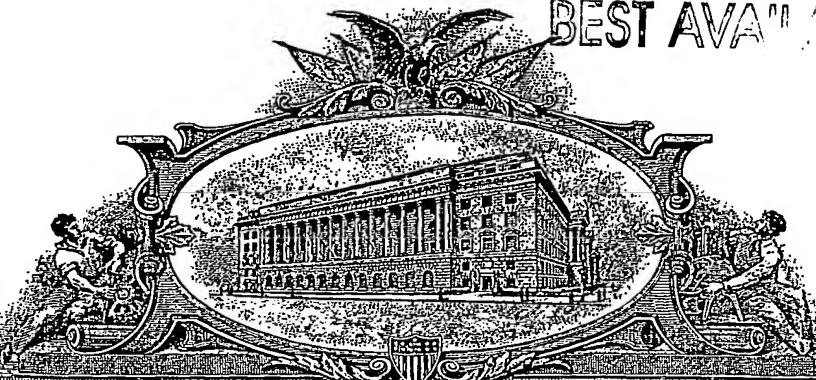
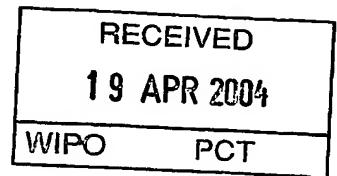


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** Additional inventors are being named on separately numbered sheets attached hereto**

TITLE OF THE INVENTION (280 characters max)

RUBBER MODIFIED POLYMERS FROM VINYL AROMATIC MONOMERS

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Yes, the name of the U.S. Government agency and the Government contract number are:

Respectfully submitted,

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RUBBER MODIFIED POLYMERS FROM VINYL AROMATIC MONOMERS

BACKGROUND OF THE INVENTION

5 This invention relates to rubber modified polymers produced from vinyl aromatic monomers.

Rubber-modified polymers such as high impact polystyrene (HIPS) and acrylonitrile/butadiene/styrene (ABS) are typically produced by polymerizing styrene or styrene/acrylonitrile in the presence of a dissolved rubber, such that the rubber is dispersed within the polymer matrix in the form of discrete rubber particles containing occluded 10 matrix polymer therein. The physical properties of the rubber modified polymer can be enhanced with grafted rubber, particularly with increased levels of grafting. During the process of making the rubber modified polymer, matrix polymers are grafted onto the rubber backbone. In order to increase the degree of grafting, certain initiators, such as peroxide initiators, can be used which abstract hydrogen atoms from the rubber polymer backbone. 15 However, this method may also cause increased reactivity, making the reaction difficult to control.

There have been previous attempts to increase the level of rubber grafting. One approach includes hydroperoxidation of a rubber using singlet oxygen (SO) which results in an added number of reactive grafting sites on the rubber backbone. Generation of SO 20 photochemically in a rubber/styrene mixture containing dissolved oxygen has been reported in U.S. Patent No. 4,717,741 by Hahnfeld et al, which is incorporated herein by reference. However, the number of reactive grafting sites obtained is limited by the solubility of oxygen in the rubber/styrene mixture. Additionally, photosensitizers must be used which act as contaminants in the final polymer, causing discoloration. Additionally, solubilizers 25 are used for the photosensitizer which end up in the recycle stream and must be separated from the styrene monomer, making this process economically unattractive.

Another approach involves generating SO in a rubber/styrene mixture by heating a compound which releases SO, such as bisquinone peroxide as described in U.S. 4,895,907 by Priddy et al, which is incorporated herein by reference. However, relatively low levels of 30 grafting were achieved and bisquinone peroxides are not available for commercial use.

Yet another approach involves generating SO from triphenylphosphite ozonides to increase the grafting onto a polybutadiene rubber for the preparation of polybutadiene-modified polystyrene, as disclosed in "Polybutadiene Hydroperoxide by Singlet Oxygen: Its Grafting and Morphology in Polystyrene Matrix", *Journal of Applied Polymer Science*, Vol.

5 31, 1827-1842 (1986) by Peng. However, the process described does not achieve high levels of grafting as hypothesized by Peng.

U.S. 5,959,033, incorporated herein by reference, discloses a method of producing highly grafted rubber in high impact styrene polymers using SO by decomposition of phosphite ozonides in the vinyl aromatic monomer and rubber feed of a mass 10 polymerization process, such that at least 30% grafting is achieved at the point of phase inversion. However, this process requires the additional ozonide reactant and adds complexity to the reaction process.

Therefore, it remains highly desirable to obtain rubber modified polymers from vinyl aromatic monomers, having highly grafted rubber particles, utilizing a process which is 15 easily controlled, efficient and commercially viable, without the disadvantages of the prior art.

SUMMARY OF THE INVENTION

In one aspect the present invention is a rubber modified polymer comprising 20
a) a polymer matrix comprising a polymerized vinyl aromatic monomer, and
b) a grafted rubber, dispersed within the polymer matrix in the form of discrete rubber particles containing occluded matrix polymer therein, wherein the grafted rubber is characterized by:

25
i) a degree of grafting of from 30 to 100 percent at the point of phase inversion,
ii) an amount of matrix polymer grafted to the rubber of from 20 to 75 weight percent of the total amount of matrix polymer, and
iii) being produced by reacting the matrix polymer with a functionalized rubber, wherein the functional group is capable of reacting with the matrix 30 polymer under polymerization conditions, thus grafting the matrix polymer to the rubber.

This rubber modified polymer containing highly grafted rubber combined with the defined graft/matrix ratio has enhanced physical properties and economic advantage when compared to rubber modified polymers of the prior art.

Another aspect of the present invention is a process for producing such rubber 5 modified polymers comprising a matrix polymer having dispersed discrete rubber particles containing occlusions of matrix polymer therein, wherein the process comprises:

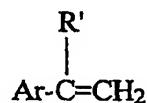
a) polymerizing a vinyl aromatic monomer in the presence of a functionalized rubber containing a functionality which is capable of reacting with the matrix polymer, such that at least 30% of the functionalized rubber is grafted with matrix polymer at the point of phase 10 inversion.

This process allows for the efficient and cost effective production of rubber modified polymers containing highly grafted rubbers without the deficiencies of the prior art.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

The rubber modified polymers of the present invention comprise a matrix polymer, 15 and a grafted rubber. Matrix polymer is grafted to a functionalized rubber, which is dispersed throughout the remaining matrix polymer in the form of discrete rubber particles containing occlusions of matrix polymer therein.

The matrix polymer can be any polymer produced from a vinyl aromatic monomer. Suitable vinyl aromatic monomers include, but are not limited to, those vinyl aromatic 20 monomers known for use in polymerization processes, such as those described in U.S. patents 4,666,987, 4,572,819 and 4,585,825, which are herein incorporated by reference. Preferably, the monomer is of the formula:



25 wherein R' is hydrogen or methyl, Ar is an aromatic ring structure having from 1 to 3 aromatic rings with or without alkyl, halo, or haloalkyl substitution, wherein any alkyl group contains 1 to 6 carbon atoms and haloalkyl refers to a halo substituted alkyl group. Preferably, Ar is phenyl or alkylphenyl, wherein alkylphenyl refers to an alkyl substituted phenyl group, with phenyl being most preferred. Typical vinyl aromatic monomers which 30 can be used include: styrene, alpha-methylstyrene, all isomers of vinyl toluene, especially

paravinyltoluene, all isomers of ethyl styrene, propyl styrene, vinyl biphenyl, vinyl naphthalene, vinyl anthracene and the like, and mixtures thereof. The vinyl aromatic monomers may also be combined with other copolymerizable monomers. Examples of such monomers include, but are not limited to acrylic monomers such as acrylonitrile,

5 methacrylonitrile, methacrylic acid, methyl methacrylate, acrylic acid, and methyl acrylate; maleimide, phenylmaleimide, and maleic anhydride. Impact modified, or grafted rubber containing products are additionally described in USP's 3,123,655; 3,346,520; 3,639,522; and 4,409,369, which are incorporated by reference herein.

10 The weight average molecular weight (Mw) of the matrix polymer is typically 50,000 to 500,000, preferably 60,000 to 400,000 and most preferably 80,000 to 350,000.

15 The grafted rubber comprises a rubber substrate containing grafts of the matrix polymer, obtained by reacting the matrix polymer with the functional group of the functionalized rubber. The functionalized rubber can be any unsaturated functionalized rubbery polymer having a glass transition temperature of not higher than 0°C, preferably not higher than -20°C, as determined by ASTM D-756-52T, containing at least 1 functional group per rubber molecule, wherein the functional group(s) is capable of reacting with the matrix polymer under polymerization conditions. The functionalized rubber suitable for the present invention are those that have a solution viscosity in the range of 5 to 300 cps (5% by weight styrene at 20° C) and Mooney viscosity of 5 to 100 (ML+1, 100° C). Suitable 20 rubbers include, but are not limited to, functionalized diene rubbers, butyl rubbers, ethylene-propylene-diene monomer (EPDM) rubbers, and silicone rubbers. Suitable functionalized diene rubbers include, but are not limited to, functionalized conjugated 1,3-dienes, e.g., butadiene, isoprene, piperylene, chloroprene, and the like, or mixtures thereof. Suitable functionalized rubbers also include functionalized homopolymers of conjugated 1,3-dienes 25 and functionalized interpolymers of conjugated 1,3-dienes with one or more copolymerizable monoethylenically unsaturated monomers, e.g., copolymers of isobutylene and isoprene. Preferably, the functionalized rubber is a functionalized homopolymer of a 1,3-conjugated diene such as functionalized butadiene, isoprene, piperylene, chloroprene and the like, or a functionalized copolymer of a conjugated diene with one or more vinyl 30 aromatic monomers such as styrene; alpha, beta-ethylenically unsaturated nitriles such as acrylonitrile; alpha-olefins such as ethylene or propylene, and the like. If the functionalized rubber is a copolymer of a vinyl aromatic monomer, then the vinyl aromatic content of the

functionalized rubber is less than 30% by weight. Other functionalized rubbers include functionalized branched rubbers and functionalized low solution viscosity rubbers.

Mixtures of functionalized rubbers can also be used.

Most preferred functionalized rubbers are functionalized homopolymers of 1,3-butadiene. Other non-functionalized rubbers such as linear diene rubbers, diene copolymer rubbers, block copolymer rubbers, e.g. styrene-butadiene-styrene block copolymers, can be combined with the highly grafted rubbers used in the rubber modified polymers of the present invention, as long as the advantages of the present invention are still obtained. Typically, the functionalized rubber comprises at least 50 weight percent of the total weight of rubber within the rubber modified vinyl aromatic polymer, preferably at least 55 percent, more preferably at least 60 percent, and most preferably at least 65 percent. In one embodiment, the functionalized rubber is used in the absence of any non-functionalized rubbers.

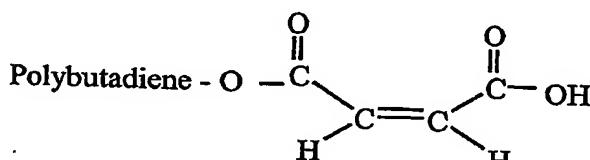
The grafted rubber is obtained by grafting the matrix polymer onto the functionalized rubber backbone during polymerization of the matrix polymer. This is accomplished by polymerizing a vinyl aromatic monomer in the presence of a functionalized rubber having at least one functional group per rubber molecule, wherein the functional group is reactive with the matrix polymer under polymerization conditions. The functional group can be any functional group which will react with the matrix polymer to form a grafted copolymer, but is preferably a functional group containing carbon-carbon unsaturation. Typical functional groups include maleates; acrylates, (alkyl)acrylates such as methylmethacrylate, n-propyl methacrylate, ethyl methacrylate, cyclohexyl methacrylate; fumarates, itaconate, citraconate, vinyl ether, vinyl ester, cinnamate, maleimides and derivatives thereof. Typically, the functional group does not act as a polymerization initiator or form a stable free radical. The rubber can be functionalized by any process suitable for bonding a functional group to the rubber backbone. Such methods are well known by those skilled in the art. Functionalization typically occurs prior to the addition of the functionalized rubber to the rubber modified polymer polymerization process.

In one embodiment, the functionalized rubbers are obtained by the incorporation of copolymerizable functional groups in the rubber backbone. These units are typically chosen in such a way that they copolymerize with the vinyl aromatic monomers used to produce the matrix polymer and as such, act as anchor points for the grafting process. Further, these

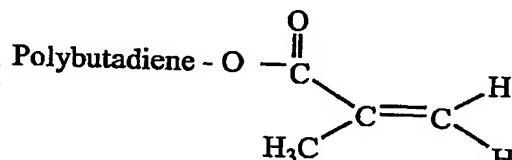
functional groups have a level of reactivity with the vinyl aromatic monomers such that they are substantially reacted, preferably more than 30%, more preferably more than 35%, and most preferably more than 40%, by the time phase inversion occurs. If this level of reactivity is not achieved, the desirable benefits are not realized. If the functional group of

5 the rubber backbone has a high reactivity towards vinyl aromatic monomers, a single functional group per rubber molecule is sufficient. Functionalization using maleate, fumarate, maleimide, acrylate and methacrylate functional groups, typically lead to a polybutadiene chain terminated with a single functional group as exemplified below:

10



Maleate Functionalized Polybutadiene



Methacrylate Functionalized Polybutadiene

15

Alternatively, during the course of the polymerization of butadiene, pendant vinyl groups can be introduced by reacting the butadiene with a compound having the desired functional groups. The copolymer unit must have sufficient reactivity in order to obtain the desired grafting at phase inversion, or a sufficient amount of such units must be included in 20 order for such reactivity to be attained. If the functional group has a low reactivity, then a higher number of groups must be incorporated into the rubber chains such that the desired level of grafting is achieved. As grafting increases, smaller rubber particles are achieved which leads to higher gloss and impact properties. Preferably, the functionalized rubber has 25 a sufficient number of grafting sites such that a 30% level of grafting can be achieved at the point of phase inversion. In other words, the rubber is grafted with monovinylidene aromatic polymer to the extent that the amount of grafted rubber is at least 30 percent of the total rubber at phase inversion.

The weight average molecular weight (Mw) of the rubber polymer is generally from 10,000 to 600,000, typically from 30,000 to 500,000, preferably from 40,000 to 400,000, 30 more preferably from 45,000 to 400,000, and most preferably from 50,000 to 350,000 as measured by gel permeation chromatography (GPC).

Rubber, i.e. the total amount of functionalized rubber and non-functionalized rubber if present, is typically present in amounts such that the rubber modified polymer contains from about 2 to about 30, generally from about 4 to about 25, preferably from about 5 to about 20, and more preferably from about 8 to about 20 weight percent rubber, based on the 5 total weight of the monomer and rubber components. The amount of rubber present is also dependent upon the final polymer product desired. Typically, for polymers such as HIPS, the amount of rubber within the rubber modified polymer is from about 5 to about 15 wt. percent. ABS polymers typically contain from about 5 to about 30 wt. percent rubber. The term "rubber" or "rubber equivalent" as used herein is intended to mean, for a rubber 10 homopolymer, such as polybutadiene, simply the amount of rubber, and for a block copolymer, the amount of the copolymer made up from monomer which when homopolymerized forms a rubbery polymer, such as for a butadiene-styrene block copolymer, the amount of the butadiene component of the block copolymer.

In one embodiment of the present invention, the matrix polymer is a copolymer of 15 styrene and acrylonitrile and the functionalized rubber is a methacrylate functionalized polybutadiene. In this embodiment the weight ratio of styrene to acrylonitrile varies from about 99:1 to about 60:40.

In another embodiment, the matrix polymer is polystyrene and the functionalized rubber is a functionalized polybutadiene.

20 The grafted rubber particles typically have a volume average particle size of from 0.01 to 10 microns, depending upon the application. The composition may be monomodal, bimodal or multi-modal depending upon the application as well.

Another aspect of the present invention relates to a process for producing such 25 rubber modified polymers. In general, the rubber modified polymers of the present invention are produced by a continuous mass polymerization as described in U.S. 4,640,959, which is incorporated herein by reference, combined with in-situ grafting, such that at least a 30%, preferably at least a 35% degree of grafting is achieved at the point of phase inversion. It is noted that additional grafting may occur after phase inversion, wherein up to 10 or even 20% additional grafting may occur. Therefore, rubber modified vinyl aromatic 30 polymers produced by this process would contain functionalized rubbers having at least a 35%, preferably at least 40%, and up to 100% degree of grafting.

The mass polymerization can be conducted in the presence of additives such as initiators, chain transfer agents, lubricants, and the like. Typical initiators include peroxides such as t-butyl hydroperoxide, di-t-butyl peroxide, cumene hydroperoxide, dicumyl peroxide, 1,1-bis(t-butylperoxy)cyclohexane, benzoyl peroxide, 1,1-bis(4,4-di-t-butyl peroxy cyclohexane) propanone; and azo compounds such as azo-bis-isobutyrate and azo bis-cyanovaleic acid. Typical chain transfer agents include mercaptans such as n-dodecyl mercaptan and t-dodecyl mercaptan, alpha-methylstyrene dimer, 1-phenyl-butene-2-fluorene, terpinol, and chloroform. Other additives such as lubricants, e.g. stearic acid, behenic acid, stearoamidic acid; oxidation inhibitors, e.g. hindered phenols; plasticizers, e.g. mineral oil, polyethylene glycol; flame retarding agents, photo stabilizers, coloring agents, fiber reinforcing agents, fillers and the like can also be used.

10 Solvents may also be employed in the mass polymerization process. Typical solvents include aromatic hydrocarbons such as toluene, benzene, ethyl benzene, xylene, hydrocarbons such as heptane, hexane, octane and the like. Preferably ethyl benzene or 15 toluene are employed. In general, the solvent is employed in amounts sufficient to improve the processability and heat transfer during polymerization. Such amounts will vary depending on the rubber, monomer and solvent employed, the process equipment and the desired degree of polymerization. If employed, the solvent is generally employed in an amount of up to about 35 weight percent, preferably from about 2 to about 25 weight 20 percent, based on the total weight of the solution.

25 Preferably the polymerization is conducted in one or more substantially linear, stratified flow or so-called "plug flow" type reactors such as described in U.S. Pat. No. 2,727,884 or alternatively, in a stirred tank reactor wherein the contents of the reactor are essentially uniform throughout, which stirred tank reactor is generally employed in combination with one or more "plug-flow" type reactors. The temperatures at which 30 polymerization is most advantageously conducted are dependent on a variety of factors including the specific initiator, type and concentration of rubbers, and the co-monomer and reaction solvent, if any, employed. In general, polymerization temperatures range from 60 to 160 °C prior to phase inversion with temperatures from 100 to 190 °C being employed subsequent to phase inversion.

A process of grafting the functionalized rubber, such that at least a 30%, preferably at least a 35% degree of grafting is achieved at the point of phase inversion, is also utilized

during the polymerization process. Degree of grafting refers to the ratio of functionalized grafted rubber to the total amount of functionalized rubber present. In other words if a functionalized rubber has at least a 30% degree of grafting, then at least 30% of the functionalized rubber contains at least one grafted chain of matrix polymer.

5 In one embodiment, the present invention is a rubber modified polymer consisting essentially of:

- a) a polymer matrix comprising a polymerized vinyl aromatic monomer, and
- b) a grafted rubber, dispersed within the polymer matrix in the form of discrete rubber particles containing occluded matrix polymer therein, wherein the grafted

10 rubber is characterized by:

- i) a degree of grafting of from 30 to 100 percent at the point of phase inversion,
- ii) an amount of matrix polymer grafted to the rubber of from 20 to 75 weight percent of the total amount of matrix polymer, and
- iii) being produced by reacting the matrix polymer with a functionalized rubber, wherein the functional group is capable of reacting with the matrix polymer under polymerization conditions, thus grafting the matrix polymer to the rubber.

15 The terms 'consisting essentially of' refer to the listed components of the composition and may including minor amounts of other additives which would not significantly alter the physical properties or performance of the rubber modified polymer composition.

20 The rubber modified polymers of the present invention can be used in a number of applications such as housings for small appliances, electronic equipment, office equipment and the like.

25 The polymerization process used to produce such polymers is an efficient, economical and commercially viable process to produce rubber modified polymers containing highly grafted rubbers which exhibit enhanced physical properties, without the use of additional reactants.

30 The following examples are provided to further illustrate the present invention. The examples are not intended to limit the scope of the present invention, and they should not be

so interpreted or construed. Unless stated to the contrary, all parts and percentages are based on weight.

EXAMPLE 1

A composition containing 51.2% styrene, 20.8% acrylonitrile, 17.5% ethylbenzene and 10.5% rubber is fed at a rate of 552 g/hr into the first zone of a stirred tube reactor having a volume capable of holding about 1225 grams of polymer. (50% of the rubber is an non-functionalized butadiene-styrene (85/15) block copolymer, 50% of rubber is the same composition block copolymer but with a methacrylate end group) The reactor is equipped with an agitator set at 120 rpm and comprises three zones, zone 1 being at 107°C, zone 2 at 111°C, and zone 3 at 116°C. Additionally, a feed stream containing 0.4 wt. n-dodecylmercaptan, and 1.7 wt. 1,1-di-terbutyl peroxy cyclohexane in 97.9% ethylbenzene is added to zone 1 at a rate of 34 g/hr. The polymerization is initiated in zone 1 and continues through zones 2 and 3. In zone 3 an additional feed of 2.95% n-dodecylmercaptan in ethylbenzene is added at a rate of 30 g/hr. After polymerization in zone 3, the polymerizing mixture is transferred to a second reactor equipped with an agitator set at 100 rpm and comprised of three zones, zone 4 being at 118°C, zone 5 at 119°C and zone 6 at 123°C. The mixture is then transferred to a third reactor equipped with an agitator set at 30 rpm and comprised of three zones, zone 7 being 131°C, zone 8 at 142°C and zone 9 at 152°C. The mixture is then transferred to a devolatilizer at a temperature of 235°C.

EXAMPLE 2

The polymerization reaction described in EXAMPLE 1 is repeated with essentially the same composition of the starting materials, with the exception of the rubber comprising entirely methacrylate-functionalized rubber.

COMPARATIVE EXAMPLE

As a comparative example, the polymerization reaction of EXAMPLE 1 is repeated using only the non-functionalized rubber as the rubber component. The resultant styrenic polymers from the EXAMPLES above are injection molded using a melt temperature of about 230°C and a mold surface temperature of about 38°C. The values given for short shot gloss is obtained using a low holding pressure in the injection molding cycle, whereas the full shot gloss is measured on a fully packed part. Rubber particle size is measured using Transmission Electron Microscopy. Results of certain physical properties are reported in Table 1. It is readily apparent that the use of functionalized rubbers greatly

reduces the average rubber particle size and imparts significantly higher gloss on surfaces of the molded parts.

TABLE 1

5

	Example 1	Example 2	Comparative Example
Particle Size (D _v , μ)	0.35	0.63	0.86
Short Shot Gloss	63	47	21
Full Shot Gloss	89	83	57
Notched Izod J/m	63.6	302.1	312.7
ASTM D256			

WHAT IS CLAIMED IS:

1. A rubber modified polymer comprising
 - a) a matrix polymer comprising a polymerized vinyl aromatic monomer, and
 - b) a grafted rubber, dispersed within the matrix polymer in the form of discrete rubber particles containing occluded matrix polymer therein, wherein the grafted rubber is characterized by:
 - i) a degree of grafting of from 30 to 100 percent at the point of phase inversion,
 - ii) an amount of matrix polymer grafted to the rubber of from 20 to 75 weight percent of the total amount of matrix polymer, and
- 5 wherein, the grafted rubber is produced by reacting the matrix polymer with a functionalized rubber having at least 1 functional group per molecule, wherein the functional group is capable of reacting with the matrix polymer under polymerization conditions, thus grafting the matrix polymer to the rubber.
- 10 2. The rubber modified polymer of Claim 1, wherein the functionalized rubber is a functionalized conjugated diene polymer rubber.
- 15 3. The rubber modified polymer of Claim 1, wherein the functionalized rubber is a functionalized polybutadiene rubber.
4. The rubber modified polymer of Claim 1, wherein the functionalized rubber
- 20 5. contains a functional group selected from the group consisting of maleates; acrylates, (alkyl)acrylates; fumarates; itaconate, citraconate, vinyl ether, vinyl ester, cinnamate, maleimides and derivatives thereof.
6. The rubber modified polymer of Claim 1, wherein the functionalized rubber is maleated polybutadiene rubber or methacrylated polybutadiene rubber.
- 25 7. The rubber modified polymer of Claim 1, wherein the vinyl aromatic monomer is styrene.
8. The rubber modified polymer of Claim 1, wherein the polymer matrix comprises styrene-acrylonitrile copolymer.
- 30 9. The rubber modified polymer of Claim 1, wherein the grafted rubber comprises at least 50 weight percent of a grafted functionalized rubber.

9. A process for producing a rubber modified polymer comprising a matrix polymer having dispersed discrete rubber particles containing occlusions of matrix polymer therein, wherein the process comprises:

5 a) polymerizing a vinyl aromatic monomer in the presence of a functionalized rubber containing a functionality which is capable of reacting with the matrix polymer, such that at least 30% of the functionalized rubber is grafted with matrix polymer at the point of phase inversion.

10 10. The process of Claim 9, wherein the functionalized rubber is a functionalized conjugated diene polymer rubber.

10 11. The process of Claim 10, wherein the functionalized rubber is a functionalized polybutadiene rubber.

15 12. The process of Claim 9, wherein the functionalized rubber contains a functional group selected from the group consisting of maleates; acrylates, (alkyl)acrylates; fumarates, itaconate, citraconate, vinyl ether, vinyl ester, cinnamate, maleimides and derivatives thereof.

13. The process of Claim 9, wherein the functionalized rubber is maleated polybutadiene rubber or methacrylated polybutadiene rubber.

14. The process of Claim 9, wherein the vinyl aromatic monomer is styrene.

15. The process of Claim 9, wherein the matrix polymer comprises a styrene-
20 acrylonitrile copolymer.

16. The process of Claim 9, wherein the grafted rubber comprises at least 50 weight percent of a grafted functionalized rubber.

ABSTRACT OF THE DISCLOSURE

The present invention is directed to rubber modified polymers which contains a functionalized (i.e. highly grafted) rubber having a degree of grafting of at least 30% at the 5 point of phase inversion and a specified amount of grafted vinyl aromatic polymer.

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